

# Effects of Immersion and Navigation Agency in Virtual Environments on Emotions and Behavioral Intentions

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## 2 ABSTRACT

3 We present a study investigating the question whether and how people's intention to change their  
4 environmental behavior depends on the degrees of immersion and freedom of navigation when  
5 they experience a deteriorating virtual coral reef. We built the virtual reef on top of a biologically  
6 sound model of the ecology of coral reefs, which allowed us to simulate the realistic decay of  
7 reefs under adverse environmental factors. During their experience, participants witnessed those  
8 changes while they also explored the virtual environment.

9 In a two-factorial experiment ( $N = 224$ ), we investigated the effects of different degrees of  
10 immersion and different levels of navigation freedom on emotions, the feeling of presence, and  
11 participants' intention to change their environmental behavior. The results of our analyses show  
12 that immersion and navigation have a significant effect on the participants' emotions of sadness  
13 and the feeling of helplessness. In addition, we found a significant effect, mediated by the  
14 participants' emotions, on the intention to change their behavior.

15 The most striking result is, perhaps, that the highest level of immersion combined with the  
16 highest level of navigation did *not* lead to the highest intentions to change behavior. Overall, our  
17 results show that it is possible to raise awareness of environmental threats using virtual reality; it  
18 also seems possible to change people's behavior regarding these threats. However, it seems that  
19 the VR experience must be carefully designed to achieve these effects: a simple combination of  
20 all affordances offered by VR technology might potentially decrease the desired effects.

21 **Keywords:** behavior change, virtual reality, presence, environmental consciousness, coral reef ecosystem, simulation

## 1 INTRODUCTION

22 Virtual reality (VR) can serve as a medium to convey messages and narratives in a deeply engaging way.  
23 Unlike other technologies, VR can offer much higher immersion<sup>1</sup>. There is evidence that exposure to a VR

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<sup>1</sup> In this work, we follow the widely-used definition, by which immersion is measured by the number and degree of senses being stimulated with artificial information, thereby blocking real-world stimuli (Bowman and McMahan, 2007; Slater, 2003, 1999; Slater and Wilbur, 1997).



**Figure 1.** We let participants explore a virtual coral reef, in order to investigate effects of immersion and navigation capabilities on behavioral intentions. Left: healthy reef; middle: one of the experimental conditions; right: damaged reef

24 simulation providing sufficient interaction, rendering, and simulation fidelity can lead to a high feeling of  
 25 presence (Lombard and Ditton, 1997; Slater, 1999; McMahan et al., 2012), which was recently defined by  
 26 Skarbez et al. (2018) as “*perceived realness of a virtual experience*”.

27 While it seems obvious that users experiencing a virtual environment (VE) will be affected emotionally  
 28 if the experience is designed accordingly, the space of VR/VE configuration parameters contributing to  
 29 eliciting specific emotional responses or behavior changes is still not fully explored (Riva et al., 2007;  
 30 Herrera et al., 2018). In addition, although there is evidence about the potential of virtual experiences to  
 31 influence attitudes and even behavior (Ahn et al., 2015, 2016; Fonseca and Kraus, 2016; Zaalberg and  
 32 Midden, 2010), it is not yet entirely clear if or how immersion, presence, and interactivity are instrumental  
 33 in eliciting a change of attitude and, ultimately, can change the behavior of users (Herrera et al., 2018). In  
 34 this paper, we investigate factors that potentially influence participants’ emotions and behavioral intentions  
 35 (see Figure 6.2).

36 Environmental responsibility, in which a change in people’s behavior is rather urgent, has been identified  
 37 by the United Nations as one of the 17 Sustainable Development Goals (Nations, 2015). While the totality  
 38 of the damage caused by the global footprint of humankind is hard to grasp, there is mounting scientific  
 39 evidence that many habitats will be eradicated within the next decades. But despite heightened public  
 40 awareness of this evidence, there seems to be a wide knowledge-to-action gap (Kollmuss and Agyeman,  
 41 2002). One reason could be large psychological distances, both temporally as well as socially, between each  
 42 individual and the problem (Weber, 2006). P. Maloney and P. Ward (1973) defined the problem as a “*crisis*  
 43 *of maladaptive behavior*”, and stated that in order to slow down the trajectory of environmental destruction,  
 44 influencing individuals is key. The mere sharing of knowledge about the environmental problems, however,  
 45 does not seem to produce enough of a positive change in environmentally conscious behaviors in enough  
 46 individuals (Kollmuss and Agyeman, 2002). It has been suggested that interactive simulations of climate-  
 47 based destruction could be helpful in communicating environmental issues effectively (Weber, 2006).  
 48 Previous research also suggests that direct experience of environmental destruction in reality leads to a  
 49 stronger correlation between attitude and behavior (Rajecki, 1982) and leads to a higher perception of the  
 50 risks of environmental problems (Akerlof et al., 2013).

51 According to the Rubicon model (Achtziger and Gollwitzer, 2008), actual behavior is shaped by a large  
 52 number of factors influencing people on the long way from early conceptions up until performing associated  
 53 actions. With the present experiment, we aimed to assess one of the first phases in this process towards  
 54 action, namely, the point of deliberately taking a decision, thereby excluding later stages, which might,

55 in the positive case, lead to the performance of the intended action, but which also might become target  
56 of other influencing factors, eventually preventing the desired action. Focusing on behavioral intentions  
57 enabled us to assess very early processes of decision making, while other confounding factors could be  
58 excluded.

59 We chose to simulate the deterioration of a coral reef ecosystem, in order to investigate the effects of VR  
60 experiences on participants' emotions and intentions to change their environmental behavior (see Figure 1).  
61 First of all, coral reefs are highly endangered ecosystems (2/3 of the world's coral reefs are under grave  
62 threat) (Hoegh-Guldberg et al., 2007). Second, the temporal and social distance between most people and  
63 those ecosystems is very large: people's actions will have a measureable impact only in several decades'  
64 time, and damaged or dead coral reefs will not have a direct impact on most societies. Allowing people  
65 to experience the decay of these habitats for themselves can therefore act as a method to communicate  
66 the hitherto rampant change of climate on a more understandable scale, both temporally and spatially. In  
67 addition, we believe we avoided indirect influences, since coral reefs are not a part of people's everyday  
68 life in the country where we conducted our experiment.

69 For our experiment, we developed a VE of a complete coral reef ecosystem including different kinds of  
70 corals, animals, and algae, based on a scientifically sound, multi-agent simulation (Kubicek et al., 2012;  
71 Kubicek and Reuter, 2016). In this VE, users can witness the evolution and decline of this ecosystem over  
72 the (accelerated) time span of hundreds of years.

73 We hypothesized that by leveraging the affordances of virtual reality, such as immersion, presence, and  
74 active and intuitive interaction, people are more likely to experience and feel the disastrous effects of  
75 environmental deterioration on an instinctive and emotional level which will induce them to modify their  
76 intentions regarding environmentally conscious behavior.

77 There are, to our knowledge, only very few research studies that investigate the effects of immersion and  
78 interaction agency,<sup>2</sup> such as the ability to navigate freely and naturally, on behavioral intentions. One of  
79 those few are Herrera et al. (2018); Ahn et al. (2015) (more details in Section 2). Others have looked at  
80 the influence of display and interaction fidelity on presence (McMahan et al., 2012), or the link between  
81 presence and emotions, e.g., (Baños et al., 2004; Bouchard et al., 2008)), or the link between presence and  
82 behavior change (Zaalberg and Midden, 2010). But these studies do not elucidate a potential link between  
83 interaction agency and immersion on behavior change.

84 In this paper, we will provide novel insights into these questions based on an extensive two-factorial user  
85 study. Our major contributions are the following:

- 86 • We found that our experimental conditions had a significant effect on participants' emotions. More  
87 specifically, participants in highly immersive conditions indicated reduced sadness. Also, participants  
88 in conditions with high navigation capabilities indicated reduced helplessness.
- 89 • Significant mediation effects show that the experimental conditions influenced environmentally  
90 conscious behavioral intentions, mediated by the emotions "sadness" and "helplessness".
- 91 • Contrary to our assumption, a virtual experience with a high level of immersion and navigation  
92 capabilities did *not* lead to the highest environmentally conscious intentions. Instead, a virtual

<sup>2</sup> Depending on the context, agency can have several slightly different, yet related meanings. Here, we will define interaction agency as the sense of being able to directly control one's own interaction with the virtual environment; more specifically, in our case, different levels of navigation agency means different levels of capability to control one's viewpoint in the virtual environment. This is similar to Hoyet et al. (2016), who define the sense of agency as "the impression to be able to control the actions of the virtual hand". According to Blanke and Metzinger (2009), agency includes "the subjective experience of action, control, intention, motor selection and the conscious experience of will."

93 experience offering *only* a high level of immersion *or* only high navigation capabilities led to a  
94 higher degree of environmentally conscious intentions.

95 These findings, in particular the last one, suggest that it is not obvious that higher immersion and freedom  
96 of navigation in VR are always more effective when designing virtual experiences aiming to influence  
97 people's behavioral intentions.

98 Research into the factors of VE design that can eventually change users' intentions and behavior could  
99 provide knowledge and opportunities to help make society more aware of environmental challenges that  
100 need to be overcome. Similarly, we hope that other pro-social causes could be pursued using similar  
101 approaches. Like most research, such knowledge could pose the threat of being used with malevolent  
102 intention. We believe, however, that the open knowledge of these factors will help society to identify and  
103 avoid adversarial virtual experiences.

## 2 PREVIOUS WORK

104 The effect of technological variables of a VR/VE configuration on presence was investigated by, for  
105 instance, McMahan et al. (2012). They compared configurations of display and interaction fidelity;  
106 variables included the FoV and monoscopic vs stereoscopic rendering in a CAVE setup, but also different  
107 interaction and locomotion techniques, like mouse and keyboard vs free walking and the "human joystick"  
108 technique for free locomotion. For both interaction fidelity and display fidelity, higher levels consistently  
109 and significantly increased presence. While they study the effects of locomotion fidelity, we rather study  
110 the effect of locomotion agency and, in addition, different levels of immersion.

111 In the area of virtual reality exposure therapy, there is a large body of literature, see (Parsons and Rizzo,  
112 2008; Bouchard et al., 2017; Rothbaum and Hodges, 1999), to reference but a few. In more detail, Schuemie  
113 et al. (2000) investigated the relationship between presence and fear in acrophobic patients undergoing a VR  
114 exposure therapy session. As initially suggested by Regenbrecht et al. (1998), they could verify a positive  
115 correlation between levels of presence and fear. Gorini et al. (2010) took a similar approach, verifying  
116 these results in the context of VEs for people with eating disorders. However, the generalizability of these  
117 results to voluntary changes of behavior seems limited, considering their focus on extreme emotional and  
118 psychophysiological reactions in phobic patients.

119 Baños et al. (2004) also explored the relationship between presence and emotion. Their results show  
120 that emotions may play a role as "*both determinants and consequences of presence*", suggesting a circular  
121 relationship; i.e., if the experience cannot induce a sense of presence, its potential in modifying emotional  
122 states is low, while a high feeling of presence heightens the emotional impact of the experience. Similar  
123 results were presented by Bouchard et al. (2008). Furthermore, they suggest that if the goal of a virtual  
124 experience is to modify an emotional state, immersion and associated technical variables might be less  
125 important than the emotional charge of the content being presented.

126 Riva et al. (2007) examined how to elicit an emotional response by different content within a VE. All  
127 participants were treated with the same VR setup and had to walk through multiple virtual parks designed  
128 to induce different emotional responses. The study confirmed the circular relationship proposed by Baños  
129 et al. (2004), and additionally, suggests that higher feelings of presence correlates with higher degrees of  
130 the respective emotion the VE was designed to produce. Baños et al. (2008) looked at the effect of different  
131 degrees of stereoscopy on levels of presence by presenting emotional virtual environments to participants  
132 on a big projection screen and providing navigation possibilities. They found that modifying the variable of

133 stereoscopy did not lead to changes in presence, which contrasts previous results (McMahan et al., 2012;  
134 Freeman et al., 1999; Hendrix and Barfield, 1996). In our work, we took these findings into account by,  
135 on the one hand, implementing features in our VE that would make it sufficiently emotional to facilitate  
136 feelings of presence. On the other hand, we avoided to evoke emotions externally, e.g. by playing a dramatic  
137 soundtrack that would change from blissful to sad music, or by adding a dramatic voice-over narration,  
138 since we are mainly interested in the influence of technological variables on behavioral intentions.

139 Freeman et al. (2005) investigated the interrelatedness of presence and emotions in the context of a  
140 virtual anxiety therapy session using a VE with calming properties. Their data did not show a significant  
141 link between presence and emotions, indicating that presence and emotions might be correlated only for  
142 arousing stimuli. Utilizing these insights, we designed a VE that includes arousing features in order to  
143 ensure that emotions can be modulated by levels of presence.

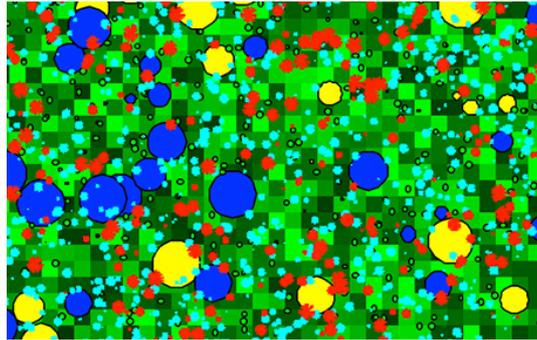
144 Zaalberg and Midden (2010) investigated how a simulated catastrophic flooding influenced participants  
145 towards exhibiting self-protective behavioral intentions after the experience. They suggest that a heightened  
146 sense of presence during the experience of a catastrophic event increases “the perception of the effectiveness  
147 of adaptive actions”, e.g., a higher willingness to purchase flood insurance in the future. In our work, we go  
148 one step further by investigating in which way participants show behavioral intentions that are pro-social  
149 and have psychologically distant effects (see Section 5 for more details).

150 In an extensive study on framing and interactivity in VE's, Ahn et al. (2015) considered the effects of  
151 message framing (gain or loss) in a virtual, embodied experience on behavior intentions and actual behavior.  
152 In the same experiments, they also considered the effect of different levels of interactivity, where the  
153 interaction consisted of cutting down a tree, or watering a sapling. In both cases, the experiment provided  
154 visuo-tactile synchronicity to the participants (by using a force-feedback device), but they did not have any  
155 choice or other agency regarding their interaction. Results show that higher levels of interactivity led to  
156 greater self-reported environmental behavior. Also, it was found that any form of VR experience reduced  
157 the actual paper consumption of participants directly afterwards by 25%. In our study, we also investigate  
158 the effect of interaction, but instead of investigating different *types* of navigation, we concentrate on the  
159 *agency* of navigation.

160 Regarding framing, there are mixed results as to whether gain or loss framing is more effective in the  
161 promotion of environmentally sustainable behaviors (Cheng et al., 2011). Overall, there is some evidence  
162 that loss framing is more persuasive, especially when the message is self-other referencing (Cheng et al.,  
163 2011; Davis, 1995). Therefore, contrary to Ahn et al. (2015), we opted to design our virtual coral reef to  
164 convey a loss-framed message in our experiments.

165 With a similar scenario (tree cutting), Ahn et al. (2014) compared the effect of different media (print,  
166 video, VR) on the environmental behavior. The study showed that VR as a medium to convey a message is  
167 more effective than print or video, that changes in environmental behavior can transfer into the physical  
168 world (20% less paper consumption directly after experience), and that the effect of VR exposure is stronger  
169 than that of print or video media. Building on these results, we stay within a virtual 3D environment as a  
170 medium and study the effects of several factors of this medium.

171 There is also a large body of studies on the effects of different types of more traditional media on  
172 behavior change. Fonseca and Kraus (2016) used 360° videos, which is a medium relatively close to  
173 VR. They showed participants highly emotional 360° videos about the environmental impact of meat  
174 consumption either on an HMD or on a tablet. The control group watched a neutral 360° video on an HMD.  
175 High-immersion conditions resulted in environmentally more positive attitudes. Additionally, the more



**Figure 2.** This 2D visualization shows the output generated by our biologically sound simulation model (SICCOM), which then gets converted into 3D models of corals in the virtual environment at runtime (Fig. 3).

176 emotional footage in the immersive setup elicited heightened feelings of presence, which confirms previous  
177 findings (above). In contrast to their study, we do not use a narrator-driven, storytelling approach, where  
178 participants are passive and possess no agency; we provide an interactive VE rather than a video, enabling  
179 participants to navigate intuitively in it.

180 There are a number of studies concerned with the effects of perspective-taking on users' empathy and pro-  
181 social behavior. For instance, Roberts et al. (2009); Bailenson et al. (2006); Boker et al. (2009); Banakou  
182 et al. (2016) modified or manipulated participants' self-avatars and investigated how users' behavior  
183 changed and adapted *within* the virtual experience while they were engaged in social interactions with other  
184 users in the same VEs. Recently, van Loon et al. (2018) studied the effect of a first-person experience of a  
185 "day-in-the-life" of another person on their empathy. Indeed, they found an increase in empathy towards that  
186 person in real life, which they impersonated in VR. Other studies looked at the effects of perspective-taking  
187 on racial bias (Peck et al., 2013, 2021), heightening environmentally conscious attitudes (Ahn et al., 2016),  
188 and pro-social behavior (Rosenberg et al., 2013). Most of these studies consider the type of self-avatar as  
189 a factor, which is not investigated in our study. There are also many more studies using VR as a tool for  
190 studying perspective-taking or empathy, such as (Mado et al., 2021; Rajj et al., 2009; Éder Estrada Villalba  
191 et al., 2021); but since these studies do not investigate the effects of technology factors on behavior, but  
192 rather the effectiveness of VR as such, we do not discuss those studies here.

193 Recently, in an extensive study, Herrera et al. (2018) compared the effect of perspective-taking on  
194 empathy under different levels of immersion (narrative-based, desktop, VR). There was no difference in the  
195 self-reported measures, but more participants in the VR condition signed a petition to support the homeless.  
196 They argue that more research is needed to "assess the role that interactivity plays [...] toward a specific  
197 social target, and pro-social behaviors".

198 There has also been considerable research on the effect of emotions on pro-environmental behavior,  
199 such as (Ibanez et al., 2017; Carrus et al., 2008; Gifford, 2014; Rees et al., 2015), to name but a few.  
200 Results seem to be mixed as to whether positive or negative emotions lead to pro-environmental behavior  
201 more effectively (Brosch, 2021); Specifically, Schwartz and Loewenstein (2017) showed that sadness  
202 is relevant for pro-environmental behavior. (For a discussion on the relevance of positive emotions, see  
203 Schneider et al. (2021)). Karnaze and Levine (2018) showed that sadness can be a component of major  
204 importance for reconstructing goals and beliefs, hence sadness is not in and by itself passive. With respect  
205 to pro-environmental behavior, the potential effects of a person's effort need to be taken into account. If  
206 people believe that they are not able to have an impact on their environment, and that the situation is beyond



**Figure 3.** Comparison of a healthy reef (left) with an unhealthy reef (right). Notice the greenish color of the water, the absence of animals, and the bleached corals.

207 their influence, then their “locus of control” (Rotter, 1966) is external (see also Heimlich and Ardion  
208 (2008)). In this line of argumentation, Landry et al. (2018) were able to show that helplessness moderates  
209 the influence of concern on pro-environmental behavior, and they concluded that helplessness can inhibit  
210 pro-environmental behavior. Similarly, Salomon et al. (2017) also found that the level of perceived personal  
211 influence on the environment is important for individual intentions and actions. In summary, these works  
212 show that helplessness is very relevant, in order to explain individual pro-environmental intentions and  
213 behavior.

### 3 VIRTUAL CORAL REEF SIMULATOR

214 Our virtual environment visually simulates a coral reef based on SICCOM (Kubicek et al., 2012; Kubicek  
215 and Reuter, 2016), a biologically realistic model of a coral reef. This multi-agent model represents  
216 individual organisms of a reef’s main components (different corals and algae) with their life-cycles,  
217 interactions and reactions to the environment (e.g. temperature). This allows to simulate the outcome  
218 of spatial competition in reefs for various scenarios with different environmental settings (for a visual  
219 representation of its output see Figure 2). SICCOM is parameterized for coral reefs in Zanzibar. The  
220 model has been used by marine scientists to investigate the impact of long-term temperature changes and  
221 mechanical disturbance on coral reefs (Kubicek and Reuter, 2016).

222 At runtime, we procedurally generate meshes for individual corals once born, based on the data generated  
223 by SICCOM. During their lifetime, we update the meshes to reflect the current stage of their life cycle. We  
224 also populate the VE with animals one would find in a typical coral reef, including sea snakes, turtles, and  
225 different schools of fish, in order to make the reef feel more lively. Some types of fish can only be found in  
226 specific spots that users can discover.

227 During runtime, SICCOM is running in the background, computing the evolution of the coral reef.  
228 Depending on various environmental parameters, it creates bleaching events for individual corals. In those

229 cases, we modify the appearance of the affected corals to appear bleached. SICCOM also signals the death  
230 of corals, in which case we remove the corals from the VE.

231 To further resemble the development of a real coral reef, we fade the water color from blue to green  
232 the more the reef gets unhealthy. In addition, visibility is reduced so as to mimic algae particles, which  
233 signifies a high amount of nutrients often resulting from pollution. When the reef health decreases below a  
234 threshold, the fish will slowly die and only their skeletons will remain on the sea floor. Other species will  
235 also vanish from the environment, leaving the impression of a dead reef (see Figure 3).

236 Since we wanted to show the development of the coral reef over several centuries, but also wanted the  
237 animals to behave realistically (and not move in super-fast time-lapse), we decided to use two different  
238 timescales: moving entities like fish and other animals exist and move on a real-time scale, while corals  
239 live on the accelerated time scale (see Section 5.4.2 for the time scale we used in our experiment).

#### 4 RESEARCH QUESTIONS AND HYPOTHESES

240 The present study investigates the impact of two specific factors of VR experiences on emotions and  
241 behavior intentions: *Navigation* and *Immersion*. Here, the latter represents degrees of visual immersion  
242 (which is one important component of overall immersion, see the definition in Section 1), while the former  
243 describes different capabilities to move about: users are either restricted to a fixed position (like in a  
244 360° video), or they can navigate freely. We decided to choose the navigation factor as the, arguably,  
245 most important kind of interaction with and in a virtual environment. Also it is extremely easy to learn  
246 for participants (who experience the VE for the first time), and it can be supported by almost all VR  
247 devices. With respect to the concrete emotions, we chose sadness and helplessness because they are  
248 expected to be of major importance when people are confronted with environmental degradation (see, e.g.,  
249 (Kollmuss and Agyeman, 2002)). Also, negative emotions have been shown to be significant predictors  
250 of pro-environmental behavior (Carrus et al., 2008; Rees et al., 2015; Landry et al., 2018; Salomon et al.,  
251 2017; Schwartz and Loewenstein, 2017).

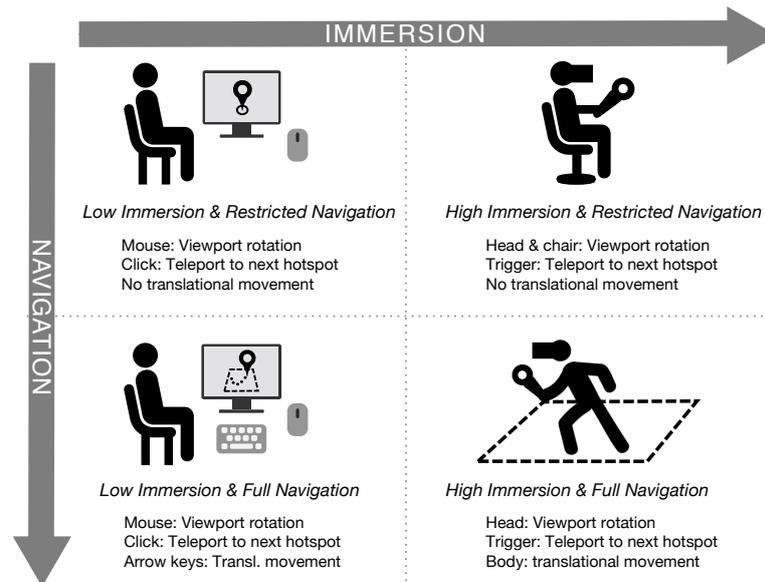
252 In more detail, we investigated the following research questions and hypotheses.

253 **RQ1:** Does navigation agency and immersion, or the lack thereof, influence emotions, specifically  
254 helplessness and sadness, resp.?

255 According to our definition of agency (see Section 1), we expected a lack of navigation capabilities, or  
256 restriction of navigation possibilities, to lead to higher levels of feeling helpless, since the user has less  
257 options to interact with their surroundings (Kollmuss and Agyeman, 2002); conversely, if participants can  
258 freely move around, this should decrease the sense of helplessness. Likewise, we expected a higher sense  
259 of presence in a virtual environment to lead to higher levels of emotions (Freeman et al., 2005), in our case  
260 the feeling of sadness, since this is what we expect a deteriorating coral reef to elicit. We did not expect  
261 different levels of immersion and the sense of presence to influence the level of helplessness. Likewise, we  
262 do not expect a link between different levels of navigation agency and levels of sadness.

263 **H1a:** We hypothesize that feelings of helplessness can be reduced by providing participants with the  
264 possibility to interact with the VE, even very simple kinds should have an effect. In our study, we chose  
265 to enable participants to move freely around, since this is very easy to learn for participants. Thus, it was  
266 expected that higher locomotion agency would reduce feelings of helplessness.

267 **H1b:** With respect to sadness, we hypothesized that it can be stimulated in a virtual environment, in our  
268 case by demonstrating the deterioration of the coral reef with a high level of presence and immersion.



**Figure 4.** The four different experimental conditions we used in our two-factorial study.

269 Concerning the effect of immersion, we formulated H1b in a bi-directional way: on the one hand, we  
 270 expected that the dying coral reef would elicit sadness; on the other hand, we expected that a highly  
 271 immersive setup can also elicit positive emotions. Accordingly, with respect to immersion, we formulated  
 272 this part of the hypothesis in a bi-directional way: we expected that immersion would influence the level of  
 273 sadness.

274 Furthermore, we analyzed whether the effects of VR (if any) depend on participants' prior familiarity with  
 275 the technology. With increasing familiarity with the technology, we assumed that the effects on emotions  
 276 would decrease; thus, we expected an influence of prior VR experiences on the level of sadness in the  
 277 groups with full immersion.

278 **RQ2:** Does the level of immersion and navigation capabilities influence intentions to behave in an  
 279 environmentally conscious way in the future?

280 **H2:** We hypothesized that higher freedom to navigate/move around in the virtual environment and higher  
 281 levels of immersion using an HMD, while witnessing the deterioration of the virtual coral reef, induces  
 282 higher intentions to behave environmentally consciously.

283 **RQ3:** Are effects on future behavioral intentions mediated by emotions?

284 With respect to the relationship between specific features (immersion and navigation capabilities) and  
 285 behavioral intentions, we assumed that emotions are of major importance. Specifically, we assumed that  
 286 immersion and navigation capabilities influence emotions, which in turn influence behavioral intentions.

287 **H3:** We assumed that experiencing varying degrees of immersion influences future intentions through  
 288 the intervening variable "sadness", and that navigation capabilities influence future intentions through the  
 289 intervening variable "helplessness".

## 5 EXPERIMENT METHODOLOGY

### 5.1 Sample and General Design

We realized a 2x2 factorial experimental design, with the factors “Navigation” (*Full Navigation* versus *Restricted Navigation*) and “Immersion” (*High Immersion* versus *Low Immersion*). 228 people participated in the study,<sup>3</sup> however, due to technical problems leading to missing data, 4 of them could not be included in the data analyses. Thus, we based our results on  $N = 224$  people, mostly university students (age:  $M = 25.24$  years,  $sd = 6.56$ ; 80 female, 142 male, 2 preferred not to say). We assigned the participants to the experimental groups randomly (*High Immersion & Full Navigation*:  $N = 56$ , female = 23, male = 33; *High Immersion & Restricted Navigation*:  $N = 57$ , female = 22, male = 35; *Low Immersion & Full Navigation*:  $N = 56$ , female = 17, male = 39; *Low Immersion & Restricted Navigation*:  $N = 55$ , female = 18, male = 37 ). Of all participants, 63% reported to have some prior VR experience.

### 5.2 Apparatus

In the following, we will describe the hardware and the experimental setups used in the four different conditions (see Figures 4 and 5). We built the VR experience using the Unreal Engine 4 running under Windows 10. In all conditions, we supplied users with the same headphones, in order to block outside noise and to provide them with audio feedback from the VE, which was not spatialized.

In the *High Immersion* conditions, we provided the participants a state-of-the-art consumer VR headset, the HTC Vive, and a Vive controller for interaction.

In the *High Immersion & Full Navigation* condition, the participants were able to walk freely within a 3x3 meter space around the 5 pre-defined locations mentioned in Section 5.4.2, thus allowing them to navigate naturally in the VE. In order to make this kind of navigation plausible to the participants, we included a picture of modern-day helmet diving in the one-page information sheet (see Section 5.4.1). In contrast, in the *High Immersion & Restricted Navigation* condition, participants sat on a swiveling chair (see Figures 4 and 5) and could simply look around at the 5 locations. The VR system ran on a PC that delivered a constant frame rate of 90 fps.

In the *Low Immersion* conditions, participants saw the virtual reef on a 24 inch 60 Hz 2D monitor, sitting approximately 50 cm away from it. Participants used a mouse for rotating the viewpoint. In the *Low Immersion & Full Navigation* condition, a computer keyboard allowed participants to navigate around in the VE.

### 5.3 Measurements

We divided our questionnaire into sections, addressing different aspects of our hypotheses, and carefully designed the order of the questions so as not to create any bias in the participants. In the same vein, we deemed it necessary to avoid any questions concerning emotions in the pre-questionnaire, because addressing any specific emotions explicitly before the experimental experience might have influenced the participants. We assume that the sample size is sufficient to cancel out emotional differences prior to the experiment.

<sup>3</sup> Assuming medium effect sizes (Cohen’s  $f = .25$ ) and the conventional significance level of  $\alpha = .05$  and power of  $1 - \beta = .95$ , a power analysis using G\*Power (Faul et al., 2009) revealed that a total sample size of  $N = 210$  is required.

(5a) *Low Immersion & Restricted Navigation*(5b) *High Immersion & Restricted Navigation*(5c) *Low Immersion & Full Navigation*(5d) *High Immersion & Full Navigation*

**Figure 5.** The four setups corresponding to the four conditions as depicted in Figure 4. Notice the missing keyboard in (a) and the swiveling chair in (b), both of which are in the *Restricted Navigation* condition. Images (b) and (d) both show the setups for the *High Immersion* condition; (c) and (d) show the *Full Navigation* condition.

325 *Pre-questionnaire.* We presented the participants with nine questions before the VR experience in order  
 326 to establish a baseline with respect to the individual's environmentally conscious behavior, for example: "If  
 327 possible, do you use bike or public transportation instead of driving a car?"

328 *Post-questionnaire.* Directly after the experience, we asked participants to indicate whether they felt  
 329 nauseous (in order to assess potential cybersickness), and asked about their current emotional state. Due to  
 330 the negative message of the dying coral reef, we assessed influences on negative emotions, in particular  
 331 their current level of sadness and helplessness. We formulated the questions in a straightforward way (i.e.,  
 332 "In this moment, do you feel sad?", "In this moment, do you feel helpless?"). We asked these questions in  
 333 the present tense, so as to capture their current feelings in the real world, not a potential memory of a past  
 334 emotion.

**Table 1.** The questions from the post-questionnaire regarding participants' intent to change behavior.

In the future, if possible, do you want to choose using a bike or public transportation instead of driving a car?
In the future, do you want to purchase organic food?
In the future, do you want to buy fair trade products?
In the future, do you want to buy local products?
In the future, do you want to use eco-friendly cleaning products?
In the future, do you want to save energy?

335 In the following part of the questionnaire, we asked participants to indicate their future behavioral  
 336 intentions, which is one of the early phases in the Rubicon model (Achtziger and Gollwitzer, 2008)  
 337 describing the process of decision taking. Table 1 shows the list of those questions. In the pre-questionnaire,  
 338 we asked similar questions, except concerning the past behavior. In addition, the participants answered the  
 339 igroup presence questionnaire (IPQ) to measure presence (Schubert, 2003), and were then asked to indicate  
 340 whether they noticed dying fish, bleaching corals, changes of the color of the water, and changes with  
 341 respect to the visibility. In addition, we asked about prior VR experience ( “*Have you ever experienced 3D*  
 342 *virtual reality technology before? If yes, how many times?*” ), and collected demographic information,  
 343 such as their age and gender.

344 *Coding.* Most of the items in the pre- and post-questionnaires were provided with a 7-point Likert scale  
 345 with verbally labeled endpoints. The questions regarding emotions, opinions, and intentions were labeled  
 346 with *yes* and *no* as anchors for the extreme points, so as to make it as uniform and as easy for participants  
 347 to go through them. The questions of the IPQ were labeled with the original labels. Maximal emotions and  
 348 maximal environmentally friendly behavior was coded with 7. The only exceptions were the four items  
 349 concerning awareness of the dying fish, bleaching corals, color and visibility of the water, which were  
 350 binary questions; the question “*Have you ever experienced 3D virtual reality technology before? If yes,*  
 351 *how many times?*” had to be answered with a number.

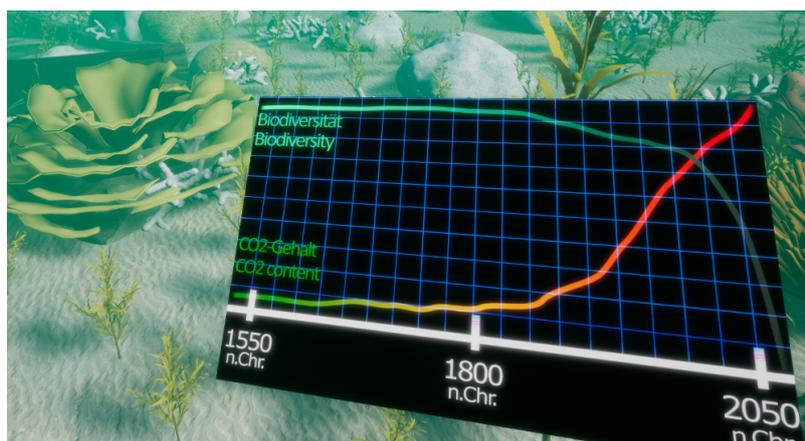
352 *Usage of the reef health plot.* During runtime, we continuously logged the times when participants  
 353 activated and dismissed the plot of the reef's health, which can be measured and plotted in terms of the  
 354 reef's biodiversity (see Figure 6).

## 355 5.4 Procedure

### 356 5.4.1 Pre-experience

357 Participants arrived at the reception, where we supplied them with consent forms and the pre-questionnaire  
 358 (see Section 5.3). After completion, we instructed them to read a one-page information sheet about coral  
 359 reefs and their decay to ensure a baseline of knowledge before starting the VR experience. The homogeneous  
 360 and relatively high level of education of our participants allowed us to keep this information sheet very  
 361 brief; in particular, it did *not* explain the relationship between the production of carbon dioxide, everyday  
 362 transportation, and the health of the reefs. Also, we did not explain the intent of the experiment to  
 363 participants.

364 We then randomly assigned participants to one of the experimental conditions and led them into the  
 365 corresponding room. Participants did not know the other conditions and could not see them. For all  
 366 conditions, after an initial greeting, the experimenter explained the controls.



**Figure 6.** In addition to obvious environmental changes in the decaying coral reef, we included a chart visualizing the reef's health on a virtual screen, which participants could bring up very easily by flicking their left wrist.

367 In the *High Immersion* conditions, all participants were instructed how to use the VR setup. In particular,  
 368 the usage of the controllers was explained in a neutral VE <sup>4</sup>, since they only work when the HMD is put on.  
 369 Also, we made sure that the HMD was adjusted to fit the individual participant. In the *High Immersion &*  
 370 *Restricted Navigation* condition, we also explained how to rotate the viewpoint in the VE by swiveling the  
 371 chair the participants sat on. The instruction phase lasted as long as the participants needed to familiarize  
 372 themselves with the devices and the controls.

373 In the condition *High Immersion & Full Navigation*, conductors utilized this phase to make participants  
 374 comfortable with natural walking while immersed. They asked the participants to walk in the same neutral  
 375 VE to learn about the virtual fence.<sup>5</sup> Subsequently, they let the participants move around freely. This phase  
 376 lasted until the participants signaled that they felt comfortable. As a result, all participants made use of  
 377 locomotion.

#### 378 5.4.2 Experience of the Reef

379 Regardless of the different conditions, all participants experienced the same VE. At the beginning, they  
 380 saw a lively, healthy coral reef. Over headphones, they heard a realistic underwater soundscape consisting  
 381 of ambient sounds, i.e., bubbles, waves and animal sounds (e.g., the crackling noise of pistol shrimps).  
 382 We controlled parameters of SICCOM to simulate the reef's development between 1550 AD and 2050  
 383 AD within the 7-minute experience, marking a timeframe in which the results of the industrial revolution  
 384 first took effect on a large scale. Therefore, the participants witnessed a healthy virtual coral reef first, and  
 385 during their experience they could notice several ways in which the virtual reef changes and deteriorates  
 386 (see also Figure 3). At about 1800 AD, the CO<sub>2</sub> level starts to rise dramatically, signifying the beginning of  
 387 the industrial revolution and culminates around the 2000s, marking a big extinction event: corals bleach,  
 388 fish die, and human intervention is hinted at through an industrial soundscape increasing in volume. After 7  
 389 minutes, the screen fades to black, concluding the VR experience.

390 To create more temporal awareness, we introduced a virtual hand-held chart to visualize the current CO<sub>2</sub>  
 391 concentration and biodiversity as line plots over time (see Figure 6). Since severe extinction happens at

<sup>4</sup> We used the default *SteamVR* environment which consists of a grey infinite plane without audio; thus, it is devoid of any emotional stimuli.

<sup>5</sup> In *SteamVR*'s terminology, this is called *Chaperone* technology, which indicates the boundaries of the play area, in order to prevent users from running into obstacles in the real world. Usually, those boundaries are rendered by a semi-transparent grid pattern when users approach those boundaries.

**Table 2.** Means and standard deviations of the questionnaire items, together with the scales, or percentages in the case of the yes/no questions. The first line is derived from the pre-questionnaire, while all other lines are derived from the post-questionnaire.

Measures	<i>Low Immersion</i>				<i>High Immersion</i>				Scale score of 7 means
	<i>Restr. Nav.</i>		<i>Full Nav.</i>		<i>Restr. Nav.</i>		<i>Full Nav.</i>		
	<i>m</i>	<i>sd</i>	<i>m</i>	<i>sd</i>	<i>m</i>	<i>sd</i>	<i>m</i>	<i>sd</i>	
Env. conscious behav.	4.54	.83	4.81	.90	4.86	.89	4.61	.87	very env. conscious
Feel nauseous	1.73	1.45	1.80	1.69	1.48	1.16	1.61	.92	max. symptom
Feel sad	4.68	1.65	4.56	2.05	4.12	1.96	3.88	2.21	yes, very much
Feel helpless	4.34	2.07	3.49	2.07	4.00	2.14	3.37	2.14	yes, very much
IPQ spatial presence	3.91	1.23	4.46	1.14	5.16	.81	5.42	.92	maximal
IPQ involvement	3.57	1.07	4.02	1.29	4.86	1.45	5.04	1.25	fully agree
IPQ experienced realism	3.12	.86	3.64	.95	3.76	1.04	3.84	1.05	completely real
IPQ general item	3.68	1.39	4.35	1.40	4.95	1.31	5.46	1.24	very much being there
Future intentions	5.22	.10	5.59	1.04	5.48	1.07	5.23	1.06	yes, very much

Measures	yes		no		yes		no	
Notice dying fish	82%	18%	84%	16%	83%	17%	84%	16%
Notice bleaching	77%	23%	70%	30%	88%	12%	81%	19%
Notice color change	89%	11%	77%	23%	84%	16%	74%	26%
Notice visibility change	82%	18%	79%	21%	79%	21%	81%	19%

392 points of high CO<sub>2</sub> levels, this creates a context for understanding what participants see happening in the  
 393 VE. Participants can bring up the chart at any time very easily: In the *Low Immersion* conditions, it can be  
 394 toggled with the right mouse button. In the *High Immersion* conditions, it appears when participants bring  
 395 the controller in front of their face.

396 Participants could also instantly teleport between five pre-defined locations, apart from each other by  
 397 about 30–50 meters, that show different aspects of the coral reef and the surrounding fauna. This action  
 398 is mapped to the left mouse button for the *Low Immersion* conditions, and the trigger of the HTC Vive  
 399 controller in the *High Immersion* conditions. Teleportation is organized in a round-robin fashion, keeping  
 400 the design between conditions as uniform as possible. In the *Full Navigation* conditions, at each location,  
 401 participants can freely move within a range of 3x3 meters. When the boundary of this space is approached,  
 402 a virtual semi-transparent fence signals the maximum extent of movement.

## 6 RESULTS AND DISCUSSION

403 In order to address the research questions, we performed various statistical analyses, which we will present  
 404 and discuss in the following. Various statistical analyses were performed, so that multiple comparisons  
 405 problems cannot be ruled out with certainty. However, while an adjustment of the alpha error would lead  
 406 to a reduced number of false-positive results, several really existing effects would be excluded; the null  
 407 hypothesis would not be rejected even though the alternative hypothesis might be correct. In striking a  
 408 balance between alpha and beta error, we decided against a Bonferroni correction.

### 6.1 Results

410 Nine items of the pre-questionnaire concerned environmentally conscious behavior. These items  
 411 intercorrelated substantially, and *Cronbach's*  $\alpha = .740$  was obtained, indicating an acceptable degree of  
 412 internal consistency of the scale. Thus, we integrated the items into one score by averaging the original  
 413 scores (see Table 2). A two-factorial analysis of variance (with the factors *Immersion* and *Navigation*)

**Table 3.** Two-factorial analyses of variance.

Measures	df	Main Effect <i>Immersion</i>			Main Effect <i>Navigation</i>			Interaction Effect		
		$F_{df}$	$p$	$\eta_p^2$	$F_{df}$	$p$	$\eta_p^2$	$F_{df}$	$p$	$\eta_p^2$
Env'y conscious behav.	1 220	0.28	0.60		<.01	0.95		4.90	0.03	0.02
Feel nauseous	1 220	1.50	0.22		0.31	0.58		0.03	0.86	
Feel sad	1 220	5.49	0.02	0.02	0.47	0.49		0.06	0.80	
Feel helpless	1 220	0.67	0.41		6.93	0.01	0.03	0.15	0.70	
IPQ spatial presence	1 218	63.3	<.01	0.23	8.58	<.01	0.04	1.12	0.29	
IPQ involvement	1 219	45.7	<.01	0.17	3.31	0.07	0.02	0.64	0.42	
IPQ experienced realism	1 219	10.2	<.01	0.05	5.09	0.03	0.02	2.86	0.09	0.01
IPQ general item	1 220	44.2	<.01	0.17	10.8	<.01	0.05	0.19	0.66	
Notice dying fish	1 220	0.06	0.81		0.03	0.86		0.02	0.90	
Notice bleaching	1 220	4.98	0.03	0.02	2.27	0.13		0.01	0.93	
Notice color change	1 220	0.36	0.55		5.78	0.02	0.03	0.01	0.93	
Notice vis. change	1 220	0.01	0.95		0.11	0.74		0.16	0.69	
Future intentions	1 220	0.13	0.72		0.17	0.68		4.94	0.03	0.02

414 showed a significant interaction effect (see Table 3). However, no significant main effects were obtained,  
415 thus, we did not include this score in the following analyses.

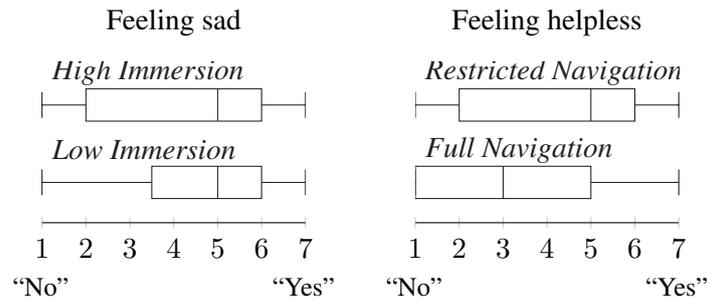
416 Directly after the exposure to the coral reef, the participants were asked whether they felt nauseous.  
417 The results indicated that mostly no cybersickness occurred (a score in the range 1–2 means (almost) no  
418 symptom occurred). A two-factorial analysis of variance did not reveal significant differences between the  
419 experimental groups.

420 With respect to “feeling sad”, the participants in the *High Immersion* conditions indicated lower scores,  
421 i.e., feeling less sad, compared to the participants in the *Low Immersion* conditions. Accordingly, a two-  
422 factorial analysis of variance yielded a significant main effect; neither the main effect *Navigation* nor the  
423 interaction effect reached the level of significance.

424 With respect to “feeling helpless”, the participants in the conditions *Full Navigation* indicated lower  
425 scores than the participants in the conditions *Restricted Navigation*, thus expressing a lower level of  
426 helplessness (see Figure 7). Accordingly, a two-factorial analysis of variance yielded a significant main  
427 effect for the factor *Navigation*. Neither the main effect *Immersion* nor the interaction effect reached the  
428 level of significance.

429 Thus, the factors *Navigation* and *Immersion* significantly influenced participants' emotions. Specifically,  
430 the participants in the *High Immersion* conditions indicated reduced sadness, and participants in the *Full*  
431 *Navigation* conditions indicated reduced helplessness.

432 Comparing the two *High Immersion* conditions concerning the question “*Have you ever experienced 3D*  
433 *virtual reality technology before? If yes, how many times?*”, no significant differences emerged,  $F < 1$ .  
434 Dividing the participants in three subgroups according to the number of prior experiences led to a group  
435 without prior experiences (49%), a group with one or two prior experiences (32%), and a group with three  
436 or more prior experiences (20%). A comparison between these three groups with respect to their level of  
437 sadness indicated the highest level of sadness in the middle group ( $M = 3.61$ ,  $sd = 2.14$ ), compared to the  
438 group without prior experience ( $M = 4.11$ ,  $sd = 2.00$ ) or the group with three or more prior experiences  
439 ( $M = 4.36$ ,  $sd = 2.19$ ). However, this effect was not significant,  $F(2, 110) = 1.039$ ,  $p = .357$ .



**Figure 7.** Distribution of participants' answers to "feeling sad" and "feeling helpless" on a 7-point Likert scale in the different conditions. Participants were specifically asked to answer spontaneously. (The boxes show the medians as well as the 25% and 75% quartiles.)

440 In order to measure presence, the participants answered the IPQ questionnaire (Schubert, 2003),  
 441 containing 14 items arranged in three subscales measuring "*Spatial Presence*" (the sense of being physically  
 442 present in the VE), "*Involvement*" (measuring the attention devoted to the VE and the involvement  
 443 experienced), and "*Experienced Realism*" (measuring the subjective experience of realism in the VE).  
 444 With respect to these three subscales, the reliability scores were *Cronbach's*  $\alpha = .771, .832, \text{ and } .684,$   
 445 respectively. Two-factorial analyses of variance indicated significant results for all three subscales: With  
 446 respect to "spatial presence", we could obtain significant main effects for *Immersion* and *Navigation*,  
 447 indicating that the *High Immersion* version of the coral reef induced significantly higher spatial presence  
 448 than the *Low Immersion* version, and the *Full Navigation* conditions induced significantly higher spatial  
 449 presence than *Restricted Navigation* conditions. The interaction effect was not significant.

450 With respect to the subscale "Involvement", a significant main effect *Immersion* was obtained, indicating  
 451 significantly more involvement in the *High Immersion* conditions than the *Low Immersion* conditions. The  
 452 difference between the conditions with full versus restricted navigation were less pronounced, and just  
 453 barely missed the level of significance. The interaction effect was not significant.

454 Concerning the subscale "Experienced realism", the *High Immersion* conditions reached significantly  
 455 better results than the *Low Immersion* conditions. The main effect *Navigation* was also significant, indicating  
 456 higher values for the *Full Navigation* groups than participants from the *Restricted Navigation* groups. The  
 457 interaction effect just barely missed the level of significance.

458 With respect to the general item *In the computer generated world I had a sense of "being there"*, we  
 459 found significant main effects for *Immersion* and *Navigation*. The interaction effect was not significant.  
 460 Again, the participants in the *High Immersion* conditions showed higher values than those in the *Low*  
 461 *Immersion* conditions, and the participants in the *Full Navigation* conditions showed higher results than the  
 462 *Restricted Navigation* conditions.

463 The participants were asked to indicate whether they noticed dying fish, bleaching corals, changes of the  
 464 color of the water, and changes with respect to the visibility. Overall, the large majority of participants  
 465 noticed these changes: dying fish, bleaching, color change, and visibility change were noticed by 84%,  
 466 79%, 82%, and 80% of all participants, resp. The proportion of participants who noticed the dying fish  
 467 did not differ by condition,  $\chi^2(1, N = 225) = 0.11, p = .99$ . Similarly, there were no differences wrt.  
 468 bleaching,  $\chi^2(1, N = 225) = 6.8, p = .08$ , no difference wrt. color change,  $\chi^2(1, N = 225) = 6, p = .11$ ,  
 469 and no difference wrt. visibility change,  $\chi^2(1, N = 225) = 0.23, p = .97$ .

470 With respect to future intentions, the post-questionnaire contained eight items. These items intercorrelated  
471 substantially, and Cronbach's  $\alpha = .819$  was obtained, indicating a good degree of internal consistency of  
472 the scale. Thus, the items were integrated in one score by adding up the original scores and dividing the  
473 result by 8. With respect to this score, the most environmentally conscious results were obtained in the  
474 groups "*Low Immersion & Full Navigation*" and "*High Immersion & Restricted Navigation*", followed  
475 by "*High Immersion & Full Navigation*" and "*Low Immersion & Restricted Navigation*". Accordingly, a  
476 two-factorial analysis of variance yielded a significant interaction effect. Neither the main effect *Immersion*  
477 nor the main effect *Navigation* reached the level of significance.

478 According to the hypotheses, we tested whether experiencing VR influences future intentions through the  
479 intervening variable "feeling sad" and whether navigation capabilities influence future intentions through  
480 the intervening variable "feeling helpless". So, in order to analyze whether *Immersion* and *Navigation*  
481 affected future behavioral intentions mediated by the variables "feeling sad" and "feeling helpless", we  
482 performed mediation analyses (for an overview, also with respect to the debatable requirement of a  
483 significant total effect of X on Y, see Preacher and Hayes (2008)). The aim was to explain the mechanism  
484 underlying the relationship between experiencing *Immersion* and *Navigation* on the one hand and future  
485 behavioral intentions on the other hand. In these mediation analyses, the causal effect of *Immersion* (and  
486 *Navigation*, resp.) is portioned into an indirect effect on future intentions through "feeling sad" (or "feeling  
487 helpless", resp.) and a direct effect on future intentions. The indirect effects of *Immersion* (or *Navigation*,  
488 resp.) were bootstrapped using the SPSS macro of Hayes (2018), based on 5,000 bootstrap samples (as  
489 recommended by (Preacher and Hayes, 2008)).

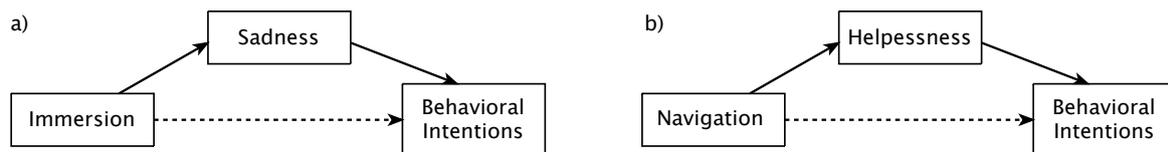
490 With respect to *Immersion* and the mediator "feeling sad", the total and direct effects of *Immersion* on  
491 future intentions were  $B = .049$ ,  $p = .724$ , and  $B = -.051$ ,  $p = .702$ , respectively. The difference between  
492 these effects is the indirect effect through the mediator "feeling sad", with a point estimate of  $ab = .101$   
493 and a 95% confidence interval of .015 to .215 (thus, different from zero). Thus, this mediation analysis  
494 confirmed that "feeling sad" served as a mediator between *Immersion* and behavioral intentions.

495 With respect to *Navigation* and the mediator "feeling helpless", the total and direct effects of *Navigation*  
496 on future intentions were  $B = -.054$ ,  $p = .700$ , and  $B = -.123$ ,  $p = .376$ , respectively. The difference  
497 between these effects is the indirect effect through the mediator "feeling helpless", with a point estimate of  
498  $ab = .070$  and a 95% confidence interval of .009 to .162 (thus, not including zero). Thus, this mediation  
499 analysis confirmed that "feeling helpless" served as a mediator between experiencing navigation capabilities  
500 and behavioral intentions.

501 Overall, the correlations between "feeling sad" and "feeling helpless" with environmental consciousness  
502 were positive and significant,  $r = .307$ ,  $p < 0.001$ , and  $r = .182$ ,  $p = .006$ , respectively.

503 Within each of the  $2 \times 2$  groups, the correlation between "sadness" and "helplessness", measured using  
504 Spearman's rank correlation coefficient, ranged from  $\rho = 0.35$  in the group of *Low Immersion & Restricted*  
505 *Navigation*, to  $\rho = 0.48$  in the group of *Low Immersion & Full Navigation*, to  $\rho = 0.61$  in the group  
506 of *High Immersion & Restricted Navigation*, up to  $\rho = 0.65$  in the group of *High Immersion & Full*  
507 *Navigation*.

508 Finally, the log files we saved during the VR experience show that all participants activated the CO<sub>2</sub>  
509 plots at least several times. On average, the chart was active for 95 seconds, with a wide spread from 7 to  
510 380 seconds. We did not find a significant difference between different conditions. Also, it is difficult to  
511 derive meaningful information from these data since many participants did not care to dismiss the chart  
512 after looking at it.



**Figure 8.** In our study, we observed significant mediation effects: Immersion (a) and navigation (b) influenced behavioral intentions through the intervening variables sadness (a) and helplessness (b), resp.

## 513 6.2 Discussion

514 The results indicate that mostly no cybersickness occurred. Therefore, we can exclude this potential  
 515 confounder. With respect to presence, the virtual experience led to the expected results: when participants  
 516 were more immersed, the level of presence increased. Also, we were able to replicate the subscales as  
 517 defined by the IPQ questionnaire (Schubert, 2003). This indicates that our different levels of immersion  
 518 and navigation have been working correctly.

519 With respect to emotions prior to the experiment, we assumed that the sample size was sufficient to  
 520 cancel out differences between the experimental groups. We believe this would not have been possible to  
 521 ensure otherwise without influencing, and possibly biasing the participants.

522 The perception of the visual effects that we chose to visualize in the dying coral reef — the bleaching  
 523 of the corals, changes of the color of the water, changes of the water turbidity, and the dying fish —  
 524 reveal interesting differences between the factors *Immersion* and *Navigation*. The *High Immersion* groups  
 525 perceived the bleaching of the corals significantly more than the *Low Immersion* groups. This could indicate  
 526 that they concentrated much more on details, or that they had a much richer experience. The perception of  
 527 the changing water color was influenced significantly by the factor *Navigation*. This could indicate that  
 528 the attention of the *Full Navigation* groups was focused more on other things.<sup>6</sup> All groups recognized the  
 529 water turbidity almost the same which indicates that the reason for the aforementioned difference seems  
 530 not to be based on different display parameters of the HMDs and the 2D screens. Moreover, all groups  
 531 observed the dying of the fish similarly. Overall, the high mean values for the perception of all four visual  
 532 effects show their suitability for the visualization of the changing coral reef.

533 In **RQ1**, we hypothesized that both factors *Immersion* and *Navigation* would affect the participants'  
 534 emotions. In **H1a** specifically, we expected that participants in the *Restricted Navigation* conditions would  
 535 indicate stronger feelings of helplessness; the present results support this hypothesis.

536 Concerning the effect of *Immersion*, we formulated **H1b** in a bi-directional way: on the one hand, we  
 537 expected that VR increases negative emotions elicited by the dying coral reef. On the other hand, we  
 538 expected that a highly immersive setup also elicits positive emotions. Our results indicate that the latter  
 539 effect is stronger than the former one, at least in our scenario, which extends the results of Baños et al.  
 540 (2004), who posited a circular relationship. Surprisingly, this effect is not affected by prior VR experience  
 541 of the users. This seems to indicate that the positive emotions generated by being in a highly immersive  
 542 setup are not (yet) weakened by habituation of VR. By contrast, Bailenson and Yee (2006) found that  
 543 some behaviors, at least self-reported cybersickness and some social interactions, changed over time in a  
 544 longitudinal study. On the other hand, our results seem to extend one of the findings of Khojasteh and Won

<sup>6</sup> Nichols (2017) argues that “distraction is a permanent state which varies in intensity” in the cinematic experience. Distraction has also been studied in narrative immersion in film (Bjørner et al., 2016).

545 (2021) and Bailenson and Yee (2006), which is that the sense of presence does not seem to change over  
546 time significantly.

547 With respect to **RQ2**, our results indicate that none of the individual factors alone had a significant effect  
548 on behavioral intentions. Instead, we found that different combinations of the factors differently affected  
549 behavioral intentions. Specifically, the most environmentally conscious behavioral intentions emerged  
550 when *only one* feature was implemented, either high immersion or high navigation capability. So, on the one  
551 hand, **H2** has to be rejected. On the other hand, less environmentally conscious intentions emerged when  
552 none of these features were realized, or when both of them were present. This differentiates the findings by  
553 Herrera et al. (2018) to some extent, who found that perspective-taking in a full VR condition can increase  
554 pro-social behavior. However, they have done their experiments only with the *Low Immersion & Full*  
555 *Navigation* and the *High Immersion & Full Navigation* conditions, not the other two combinations. Our  
556 findings also extend those of Ahn et al. (2016) who state the “importance of direct experiences in promoting  
557 interconnectedness with nature and involvement with environmental issues”. Also, our results extend those  
558 of Fonseca and Kraus (2016), who investigated the effect of immersion using 360-videos, and those of Ahn  
559 et al. (2015), who found that “higher levels of interactivity led to greater behavioral intentions”. Our results  
560 suggest that the sweet spot in the multi-dimensional design space of virtual experiences might not be at the  
561 far end along each dimension when positive behavior change is the goal of the virtual experience.

562 We conjecture that this rather surprising finding could be explained by rather playful, and thus potentially  
563 distracting features of a full-fledged VR setup: participants could have put their focus on specific details of  
564 the VE, such as individual corals or the behavior of the different schools of fish, thus missing the overall  
565 picture of the dying coral reef. Only in the condition *High Immersion and Full Navigation*, it was intuitive  
566 and easy to walk up to specific locations in the VE where participants could try to touch or interact with  
567 specific parts of the environment; incidentally, we actually observed this exploratory and playful behavior  
568 in some of the participants, accompanied with expressions of enjoyment. This observation could provide  
569 another explanation: With all its affordances combined, beyond a certain threshold, a fully immersive VR  
570 setup and interactive VE might generate a positive emotional undercurrent just from the illusion of being  
571 present and having the freedom and agency to act in a virtual space, while being aware at all times that it is  
572 indeed an illusion. This could possibly undermine the efficacy of the content, which in our case was to affect  
573 emotional state in a specific direction. By contrast, the *Low Immersion & Restricted Navigation* condition  
574 probably did not engage participants enough in order to have a large effect on behavioral intentions. This  
575 latter condition is relatively close to film documentaries which were found to have no lasting effect on  
576 behavior change (see, for instance, Dunn et al. (2020)). Thus, our study confirms and expands those studies  
577 to virtual environments, which postulate that “understanding alone cannot drive action” (Kollmuss and  
578 Agyeman, 2002).

579 Refining these results, we found support for hypothesis **H3**: Significant mediation effects show that  
580 immersion influences future intentions through the intervening variable “feeling sad”. Also, navigation  
581 capabilities influence future intentions through the intervening variable “feeling helpless”. More specifically,  
582 higher levels of helplessness, and higher levels of sadness were associated with more environmental  
583 responsibility (see Figure 8). In both cases, the correlations were strongly positive.

584 In total, our findings seem to fit well into the Theory of Planned Behaviour (Ajzen, 2011, 1991), which  
585 posits that “affect and emotions [...] can serve as background factors that influence behavioural, normative  
586 and/or control beliefs.”

### 587 6.3 Limitations

588 Before the experimental variation, we asked the participants to indicate their level of environmentally  
589 conscious behavior. With respect to this score, no significant main effects were obtained. However, a  
590 significant interaction effect emerged, thus, the possibility that pre-existing differences in pro-environmental  
591 behaviour between the groups were carried through and/or amplified cannot be excluded with certainty. In  
592 our analyses, we decided against computing differences of scores between the items concerning present  
593 behavior and those concerning future behavior, because people's *actual* present behavior is influenced by a  
594 large number of factors, many of which are not under their control (e.g., their financial situation, or access  
595 to organic food). By contrast, *intention* to change behavior is not directly constrained by these factors, thus,  
596 present behavior and future intentions cannot be considered in the same category.

597 Our experiments cannot explain the differences regarding the awareness of specific changes in the VE's  
598 between the groups. Our experiment was designed to investigate behavior change (or, rather, the intention to  
599 change behavior). Our hypotheses we ventured in this paper still require further experiments to investigate  
600 relations and connections in detail, specifically in light of the fact that the effects found in the present study  
601 were rather small.

602 Another limitation of our study is that it does not assess long-term effects of participants' exposure to the  
603 VR experience on their actual change of behavior. Such a study would be, of course, not trivial, since it  
604 can be very challenging to link any kind of behavior change back to an earlier virtual experience that could  
605 be weeks or even months ago. One of the very few studies on long-term effects are the ones by Herrera  
606 et al. (2018) (2 months in this case), Ahn et al. (2015) (1 week), or Banakou et al. (2016) (1 week).

## 7 CONCLUSIONS AND FUTURE WORK

607 Research on the relationship between VR as a technological medium, emotions, and behavioral intentions  
608 is still relatively scarce. It is highly interdisciplinary research at the intersection of computer science,  
609 psychology, and media theory. Contrary to many other media types, VR can be a highly interactive medium,  
610 so that results from film and other non-interactive media cannot be applied directly.

611 In this paper, we have presented an extensive user study to investigate the influence of VR on people's  
612 emotions and possible resulting behavioral changes. The results of our analyses show that navigation  
613 agency as well as the degree of immersion influence people's intention to change their environmental  
614 behavior significantly. This influence is mediated by the emotion of sadness and the feeling of helplessness,  
615 which, in the case of our study, was evoked by our virtual environment that shows a dying coral reef.

616 Interestingly, we did not observe the largest positive change of behavioral intentions in the group with  
617 the highest amount of presence, i.e., the one with highest immersion and free and natural navigation  
618 capabilities. This is an essential result for future designs of VR experiences, because it suggests that just  
619 increasing immersion and interaction agency in and by itself may lead to unintended consequences that  
620 impact the emotional quality of the experience. This is especially true if VR is intended for awareness  
621 raising, behavioral change, or decision making.

622 Following our discussion (in Section 6.2), we believe there are many avenues of further research. Perhaps  
623 the most interesting line of research could be investigations into the processes that cause the observed  
624 drop in behavioral change intentions when the features of VR (immersion, realism, agency) are fully  
625 utilized, compared to setups where those features are only partially realized. In addition, it could be very  
626 interesting to determine if there is a significant difference regarding change intentions between the more

627 positive emotions such as feelings of collective efficacy, togetherness, or compassion, and the more negative  
628 emotions such as sadness or anger.

629 Different VR devices, especially different types of HMDs with different FoV and different resolutions,  
630 could be used to adjust different levels of immersion. In particular, it would be very interesting to determine  
631 a set of best practices that would allow for fully immersive and engaging VR experiences, and yet achieve  
632 the intended raising of awareness or even cause behavior change. Considering our example, framing the  
633 experience in a positive way could leverage the positive emotions generated by the fully immersive setup  
634 in order to influence participants pro-environmentally.

635 Other possibilities for further research could be to investigate effects of different kinds of audio tracks or  
636 a narration accompanying the deterioration of the coral reef and its importance relative to other factors of  
637 the virtual experience.

638 Furthermore, other mediating emotions arising during virtual experiences could be investigated, and  
639 completely other ways of making participants intend to change their behavior through VR, for instance  
640 using rational argumentation instead of emotional influence.

641 In addition, whether or not VR experiences can have a sustained, lasting effect on the behavior of  
642 participants is an open question, which would require long-term studies to investigate this. To our  
643 knowledge, such studies exist only for the effect of message framing and extreme differences in presentation  
644 technique (Ahn et al., 2015, 2014; Herrera et al., 2018; Banakou et al., 2016).

645 The influence of background variables like, for example, the educational level could be interesting topics  
646 for further research on the way how such VR experiences should be designed or framed.

647 Finally, instead of trying to convey effects of the climate crisis on geographically distant ecologic systems,  
648 one could try to portray those effects on the users' direct surroundings, albeit in a distant future. This would  
649 then pose a different, interesting research question in what might be the best VR conditions in order to  
650 elicit behavior change on today's users when the effects of their behavior can be seen only in a distant  
651 future. Only very few research has been investigating such potential uses of VR, see for instance Şenel and  
652 Slater (2020).

## CONFLICT OF INTEREST STATEMENT

653 The authors declare that the research was conducted in the absence of any commercial or financial  
654 relationships that could be construed as a potential conflict of interest.

## AUTHOR CONTRIBUTIONS

655 RW has supervised the design of the study and the implementation of the software. JC, RA, KM have  
656 implemented the software and helped with data analysis. CG has helped with data analysis and the design  
657 of the study. HR has developed the biological model. GZ has supervised the project, helped with the design  
658 of the study, and supervised the implementation.

## FUNDING

659 The Leibniz Center for Tropical Marine Research generously provided funding for conducting the user  
660 study (SAW-2014-ZMT-1 317).

## ACKNOWLEDGMENTS

661 The authors wish to thank Andreas Kubicek for his great help with interpreting his original source code of  
662 SICCOM, the *BMBF Hochschulwettbewerb Wissenschaftsjahr* for providing funding, Leibniz Association  
663 SAW-2014-ZMT-1 317 (Contributions to Coral Commons International Studies in Aquatic Tropical  
664 Ecology, ISATEC), Katie Nelson for initiating the study and helping with the design, and the computer  
665 science students Roland Fischer, Waldemar Wegele, Hermann Meißenhelger, Stefan Heitmann, Tobias  
666 Brandt, Victoria Ivleva, Maziar Elmi Sarrabi, Hatef Takyar.

## DATA AVAILABILITY STATEMENT

667 The data sets collected during this study are available at <https://osf.io/vqtqey>

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